



IFW 2662

Docket No.: CIT/K-0090

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Confirmation No.: 1898

In Tae HWANG; Sang Rim SHIN and  
Myoung Jin OK

Group Art Unit: 2662

Serial No.: 09/406,729

Examiner: Ahmed ELALLAM

Filed: September 28, 1999

Customer No.: 34610

For: **METHOD FOR TRANSMITTING SIGNAL OF MEDIUM ACCESS CONTROL  
SUBLAYER IN MOBILE COMMUNICATION SYSTEM**

INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, Virginia 22314

Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the indicated date. Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, that information cited in the statement is considered to be and/or is material to patentability, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. It is further understood that the Examiner will consider information that was cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. §120. 1138 OG 37, 38 (May 19, 1992).

☐ 1. This Information Disclosure Statement is being filed (i) within three months of the U.S. filing date of a U.S. application other than a CPA continued prosecution application under §1.53(d) OR (ii) within three months of the date of entry of the national stage as set forth in §1.491 in an international application OR (iii) before the mailing date of a first Office Action on the merits OR (iv) before the mailing of a first Office Action after the filing of a Request for continued examination under §1.114. No certification or fee is required. 37 C.F.R. §1.97(b). 10/12/2006 SZWDIE1 00000006 09406729

☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection OR Notice of Allowance OR an action that otherwise closes prosecution in the application. 37 C.F.R. §1.97(c).

☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1). No fee is required.

- ☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☐ c. Attached is our check no. \_\_\_\_\_ in the amount of \$180.00 in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. 16-0607 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☒ d. Please charge our Credit Card in the amount of **\$180.00** in payment of the fee under 37 C.F.R. §1.17(p) per the attached PTO 2038 form. Please credit or debit Deposit Account No. 16-0607 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ 3. This Information Disclosure Statement is being filed after the mailing date of a Final Rejection OR Notice of Allowance OR an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee.
- ☐ a. Attached is our check no. \_\_\_\_\_ in the amount of \$180.00 in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. 16-0607 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached. 37 C.F.R. §1.97(d).
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- ☐ c. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ d. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☒ 4. Copies of the references were previously submitted to the Patent Office as attachments to Amendments filed on January 1, 2006 and September 21, 2006. Thus, copies of these references are not attached.
- ☒ 5. To the extent necessary, a petition for an extension of time under 37 C.F.R. §1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 16-0607 and please credit any excess fees to such deposit account.

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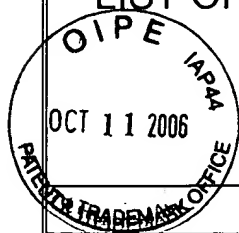
**Please direct all correspondence to Customer Number 34610**

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## LIST OF ART CITED BY APPLICANT

(PTO-1449)

ATTY. DOCKET NO.  
CIT/K-0090APPLN. SERIAL NO.  
09/406,729APPLICANT(S)  
In Tae HWANG; Sang Rim SHIN and  
Myoung Jin OKFILING DATE  
September 28, 1999GROUP  
2662

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	*PATENT NO.	*ISSUE DATE	*INVENTOR NAME	CLASS	SUBCLASS	FILING DATE

## U.S. PATENT APPLICATION PUBLICATIONS

	*PATENT APPLN. PUB. NO.	*PUB. DATE	*APPLICANT	CLASS	SUBCLASS	

## U.S. PATENT APPLICATIONS

	*APPLN. NO.	*FILING DATE	*INVENTOR	CLASS	SUBCLASS	

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Publisher, Place of Publication, Etc.)

	OJANPERA, Tero, et al., (Editor) "Wideband CDMA for Third Generation Mobile Communications," 1998, Artech House, Boston and London.
	OJANPERA, Tero, et al, "An Overview of Air Interface Multiple Access for IMT-2000/UMTS," IEEE Communications Magazine, September 1998, pages 82-95.
	DAHLMAN, Erik, et al., "UMTS/IMT-2000 Based on Wideband CDMA," IEEE Communications Magazine, September 1998, pages 70-80. (XP 000784828).
	Tdoc SMG2-L23 2Y99-67, TSG-RAN WG2 1999, Espoo, Finland, January 18-20, 1999, "UMTS 25.xx v.0.1.2 Technical Report, Universal Mobile Telecommunications System (UMTS); Vocabulary for the UTRAN (UMTS 25.xx version 0.1.3).
	"Concept Group Alpha - Wideband Direct-Sequence CDMA (WCDMA) Evaluation Document (3.0), Part 3: Detailed simulation results and parameter," ETSI SMG Meeting No. 24, Madrid, Spain, December 15-19, 1997.
	TR 101 112 v3.2.0 (April, 1998), "Universal Mobile Telecommunications System (UMTS); Selection Procedures for the Choice of Radio Transmission Technologies of the UMTS (UMTS 30.03 version 3.2.0).
	3GPP RAN S2.02 v0.0.1, January 1999, "Services Provided by the Physical Layer," 3GPP TSG RAN WG2 #1, January 20-22, 1999, Helsinki, Finland.
	"3rd Generation Partnership Project (3GPP); Technical Specification Group (TSG) RAN; Working Group 2 (WG2); Services Provided by the Physical Layer," TS 25.302 v2.0.0 (April 1999).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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